

# Overview of the Proposed NASA MMO Space Act Agreement

Darryl Mitchell
Innovative Partnerships Program Office
Goddard Space Flight Center
Greenbelt, MD

#### Overview

- Space Act Agreements
  - Key points to remember
  - Rationale for approach
  - Content
    - Intellectual Property
    - NASA Insignia & Name
    - Third party sponsorship
- Patent & Copyright Licenses
  - Types of licenses
  - Process
  - Application Format

#### **Space Act Agreements**

- Unique authority granted to NASA under 1958 Space Act
- Not governed by Federal Acquisition Regulations (FAR)
- Reimbursable or non-reimbursable
- No statutory nationality preference
- Standardized language
- Any purpose within NASA's mission
- Cannot provide services available commercially
- Civil service personnel provide assistance on noninterference basis
- Results can be withheld for up to 5 years and proprietary information exempt from FOIA



#### Why a Space Act Agreement?

- Looking for partner(s) with shared vision
- NASA is not a game developer
- Greater incentive to succeed

### NA

#### **Standard SAA Content**

- 1. TITLE
- AUTHORITY AND PARTIES:
- PURPOSE
- 4. RESPONSIBILITIES
- SCHEDULE AND MILESTONES
- 6. FINANCIAL OBLIGATIONS
- 7. SCHEDULING CONFLICTS
- 8. NONEXCLUSIVITY
- 9. LIABILITY/RISK OF LOSS:
- 10. FIRST PARTY LIABILITY
- 11. THIRD PARTY LIABILITY
- 12. INTELLECTUAL PROPERTY RIGHTS
- 13. RELEASE OF GENERAL INFORMATION TO THE PUBLIC
- 14. USE OF NASA NAME AND EMBLEMS

- 15. DISCLAIMERS
  - DISCLAIMER OF WARRANTY
  - DISCLAIMER OF ENDORSEMENT
- 16. COMPLIANCE WITH LAWS AND REGULATIONS
- 17. TERM OF AGREEMENT
- 18. RIGHT TO TERMINATE
- 19. CONTINUING OBLIGATIONS
- 20. DISPUTES RESOLUTION
- 21. KEY PERSONNEL
- 22. MODIFICATIONS/ AMENDMENTS
- 23. ASSIGNMENT OF RIGHTS
- 24. APPLICABLE LAW
- 25. SIGNATORY AUTHORITY

#### Intellectual Property Rights

- Bayh-Dole Act of 1980 (35 U.S.C. § 200 et seq.) does not apply
- NASA Inventions
  - NASA retains ownership
  - Reasonable efforts to grant a license to partner
- Partner Inventions
  - NASA generally acquires no rights
  - May negotiate a license for NASA use
- Joint Inventions
  - Cooperate in obtaining patent protection
  - NASA may agree to refrain from exercising its undivided interest
  - Reasonable efforts to grant exclusive or partially exclusive license



#### Use of the NASA Insignia



- 14 C.F.R., Chapter V, Part 1221.110
  - Very restrictive
  - Rarely granted
- However, this product will be a tool for NASA
  - "... the Associate Administrator for Public Affairs may authorize on a case-by-case basis the use of the NASA Insignia for other purposes when the Associate Administrator for the Public Affairs deems such use to be appropriate."
  - NASA position will be posted on FAQ Web page
- If granted
  - under separate agreement
  - Closely monitored

## Use of the NASA Name in Promotional Materials



- Acceptable
  - Factual statements
  - Use does not mislead in any manner
  - Submitted for NASA review

- Unacceptable
  - Conveying
     authorization, support,
     sponsorship, or
     endorsement of NASA
     which does not, in fact,
     exist
  - Use of NASA Logotype
  - Released without
     NASA review



#### Third Party Sponsors & Logos

- 14 C.F.R., Chapter V, Part 1221.110
- "... No approval for use of the NASA Insignia will be authorized when its use can be construed as an endorsement by NASA of a product or service."

- NASA internal discussions underway
- Send us your ideas



#### **Licensing NASA Technology**

- Non-Exclusive
  - Preferred over exclusive
  - Negotiated royalty terms
  - No public notice required
  - Preference for U.S. businesses
- Exclusive
  - Field-of-use preferred
  - Negotiated royalty terms
  - Public notice required
  - Preference for U.S. businesses

#### **Patent & Copyright Licensing Process**

- Submit license application
  - Company letterhead
  - Address all info required by 37C.F.R. 404
  - 15-day public notice requirement for exclusive license
- Negotiate terms
- NASA drafts agreement
- Licensee reviews, signs, and returns with any payment due
- NASA Chief Counsel executes
- Licensee submits annual reports and royalty payments

See "Licensing and Partnering" section of IPP's Web site: http://ipp.gsfc.nasa.gov

#### License Application Format

- Type of license
- Titles and patent numbers for all inventions of interest
- Name, address, and place of incorporation of the organization
- Name, address, and telephone number of primary point of contact
- Nature and type of applicant's business
- Source of information concerning the availability of a license
- A statement indicating whether applicant is a small business
- Development and Marketing Plan
- Identification of previously granted federal patent licenses
- Applicant's best knowledge of the extent to which the invention is being practiced
- Any additional information applicant deems relevant



#### **Contact Information**

Innovative Partnerships Program Office
Code 504
NASA Goddard Space Flight Center
Greenbelt, MD 20771
1-301-286-5810
http://ipp.gsfc.nasa.gov

#### **Darryl Mitchell**

Telephone: 301-286-5169

Email: Darryl.R.Mitchell@nasa.gov